

NOTICE TO INTERESTED PARTIES

1. Notice To: All members and employees of Local Union 513, affiliated with the International Union of Operating Engineers, AFL-CIO (“Union”) and all participants in the Local Union 513 Annuity Plan. An application is to be made to the Internal Revenue Service for a determination of the ongoing qualification of the following employee pension benefit plan:

2. Plan Name: Local Union 513 Annuity Plan

3. Plan Number: 001

4. Name and Address of Applicant:

The Joint Board of Trustees
Local Union 513 Annuity Plan
3449 Hollenberg Drive, Suite 150
Bridgeton, MO 63044

5. Applicant EIN: 43-1712261

6. Name and Address of Plan Administrator:

The Joint Board of Trustees
Local Union 513 Annuity Plan
3449 Hollenberg Drive, Suite 150
Bridgeton, MO 63044

7. The application will be filed on January 19, 2015, for an advance determination as to whether the Plan meets the qualification requirements of Section 401 or 403(a) of the Internal Revenue Code of 1986, with respect to the Plan’s amendment. The application will be filed with:

Internal Revenue Service
EP Determinations
P.O. Box 12192
Covington, KY 41012-0192

8. The employees eligible to participate under the Plan are the employees of Contributing Employers who are covered by a Collective Bargaining Agreement or any written agreement requiring Employer Contributions on their behalf to be made to the Plan. The employees of the Union for whom the Union contributes to the Plan are also employees eligible to participate under the Plan.

9. The Internal Revenue Service has previously issued a favorable determination letter with respect to the qualification of this Plan.

RIGHTS OF INTERESTED PARTIES

10. You have the right to submit to EP Determinations, at the above address, either individually or jointly with other interested parties, your comments as to whether this Plan meets the qualification requirements of the Internal Revenue Code. Your comments to EP Determinations may be submitted to:

Internal Revenue Service
EP Determinations
Attn: Customer Service Manager
P.O. Box 2508
Cincinnati, OH 45202

You may instead, individually or jointly with other interested parties request the Department of Labor to submit, on your behalf, comments to EP Determinations regarding qualification of the Plan. If the Department declines to comment on all or some of the matters you raise, you may, individually or jointly, if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations at the Cincinnati address above.

REQUESTS FOR COMMENTS BY THE DEPARTMENT OF LABOR

11. The Department of Labor may not comment on behalf of interested parties unless requested to do so by the lesser of 10 employees or 10 percent of the employees who qualify as interested parties. The number of persons needed for the Department to comment with respect to this Plan is 10. If you request the Department to comment, your request must be in writing and must specify the matters upon which comments are requested and must also include:

- (1) the information contained in items 2-5 of this Notice, and
- (2) the number of persons needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

Deputy Assistant Secretary
Employee Benefits Security Administration
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

Attn: 3001 Comment Request

COMMENTS TO INTERNAL REVENUE SERVICE

12. Comments submitted by you to EP Determinations must be in writing and received by the 45th day after the day on which the application for determination is received by EP Determinations. However, if there are matters that you request the Department of Labor to comment upon on your behalf and the Department declines, you may submit comments on these matters to EP Determinations to be received by it within 15 days from the time the Department notifies you that it will not comment on a particular matter, or by the 45th day after the day the application for determination is received by EP Determinations, whichever is later, but not after the 60th day after the day the application for determination was received. A request to the Department to comment on your behalf must be received by the Department by the 15th day after the day the application for determination is received by EP Determinations if you wish to preserve your right to comment on a matter upon which the Department declines to comment, or by the 25th day after the day the application for determination is received by EP Determinations if you wish to waive that right.

ADDITIONAL INFORMATION

13. Detailed instructions regarding the requirements for notification of interested parties may be found in Sections 17 and 18 of Rev. Proc. 2014-6. Additional information concerning this application (including, where applicable, an updated copy of the Plan and related Trust; the application for determination; any additional documents dealing with the application that will be submitted to the Service, and copies of Section 17 of Rev. Proc. 2014-6, which sets out in detail what rights to notice and comment interested parties have, and how to submit those comments, are available at the address of the Plan Administrator during regular business hours for inspection and copying, or upon written request to the Plan Administrator. (There is a nominal charge for copying and/or mailing).